

Estimates of crisis-attributable mortality in South Sudan, December 2013-April 2018

A statistical analysis

September 2018

Francesco Checchi PhD* Adrienne Testa MSc Abdihamid Warsame MSc Le Quach BS Rachel Burns MSc

Department of Infectious Disease Epidemiology Faculty of Epidemiology and Population Health London School of Hygiene and Tropical Medicine

* Corresponding author: Francesco.checchi@lshtm.ac.uk

Executive summary

Background

Large-scale armed conflict in South Sudan has led to the displacement of about 4.5 million people and severely affected food security and livelihoods. So as to inform the ongoing humanitarian response and provide evidence for conflict resolution efforts, we carried out a statistical regression analysis to estimate (ii) the number of excess deaths attributable to the crisis among people within South Sudan, and (ii) the number of people killed during the war period (December 2013 to April 2018). Our study excludes South Sudanese refugees in other countries.

Methods

We derived estimates for each county and month within the analysis period by implementing a six-step estimation method that consists of (i) reconstructing population denominators from census projections and internal and refugee migration; (ii) reviewing and reanalysing previously collected mortality data from 210 county-based household surveys conducted as part of the humanitarian response; (iii) capturing data on various candidate predictors of mortality, including climate, armed conflict intensity, displacement, food security and livelihoods, humanitarian and public health service functionality and epidemic incidence; (iv) fitting a model to predict death rate based on predictor data and survey mortality estimates; (v) defining counterfactual baseline assumptions (what values model predictors would have taken in the absence of the crisis); and (vi) combining the above steps to estimate total, baseline and excess (total minus baseline) mortality. We used a similar approach to estimate the number of people killed, supplementing it with survey data on the injury- and, where available, violence-specific death rate.

Results

Ground surveys were broadly consistent with census estimates in terms of key demographic variables, but the share of infant mortality was unexpectedly low; similarly, survey estimates of the under 5y death rate were not higher during the war period, compared to previously. Statistical models to predict mortality had moderate predictive power but displayed plausible associations of death rate with armed conflict intensity, displacement, food security, vaccination uptake and cholera incidence. We estimate 383,000 people died in excess of the counterfactual baseline during the analysis period, out of an average population of about 10 million, with the death toll concentrated in Jonglei, Unity and the Equatorias, and highest in 2016-2017. During the same period, our analysis suggests some 190,000 people were killed. Alternative analyses using different regression techniques and counterfactual baseline assumptions yielded broadly similar totals.

Discussion

The South Sudanese population experienced elevated mortality during the war period, particularly in the northeast and southern regions of the country. A high proportion of deaths was due to violence. Our estimates are subject to limitations, including unrealistically narrow confidence intervals, uncertainty around population denominators and likely under-reporting of child deaths. On balance, these may have led to mild to moderate under-estimation. These findings indicate the humanitarian response needs to be strengthened, and that all parties should seek urgent conflict resolution.

Table of Contents

E	xecutive summary	2
A	cknowledgments	4
D	isclaimer	4
Li	st of tables and figures	5
Li	st of abbreviations	6
1	Background	7
	South Sudan context	7
	Scope of this study	7
2	Methods	7
	Study design	7
	Step 1: Population denominators	8
	Step 2: Ground mortality survey data	9
	Step 3: Mortality predictor data	9
	Step 4: Statistical models to predict the death rate	11
	Step 5: Counter-factual baseline assumptions	11
	Step 6: Estimation of excess death toll	12
	Estimation of the number of people killed	12
	Ethics	12
3	Results	13
	Mortality survey availability	13
	Patterns in survey-estimated mortality and other household indicators	15
	Evolution of population denominators	17
	Statistical models to predict mortality	18
	Excess death toll estimates	19
	Estimates of people killed	23
4	Discussion	24
	Findings in context	24
	Study strengths and limitations	24
	Conclusions	27
5	References	28
6	Annex	30
	Notes on geographical names and units	30
	Quality scoring of eligible mortality surveys	30
	Re-analysis of mortality survey datasets	31
	Treatment of mortality surveys without available datasets	32
	Patterns in survey estimates	33
	Conceptual framework of candidate mortality predictors	35
	Further details on predictor data	36
	Predictive models	39
	Additional tables and figures	42

Acknowledgments

This work was funded by the United States Institute of Peace, where we are particularly indebted to Payton Knopf, Susan Stigant, Nicoletta Barbera and Ailie Morgan for support and advice. A Warsame was supported by UK Research and Innovation as part of the Global Challenges Research Fund, grant number ES/P010873/1.

We are extremely grateful to colleagues in South Sudan who were instrumental in collecting data and facilitating access to these data, in particular Ismail Kassim and Kiross Tefera Abebe (United Nations Children's Fund and Nutrition Cluster Information Working Group), and Ryan Burbach (World Health Organization).

At the London School of Hygiene & Tropical Medicine, we are grateful to Hayley Curran and Anna Carnegie for project management support, Neal Alexander for statistical review and advice, and Daniel Carter for data management.

Disclaimer

Geographical names and boundaries presented in this report are used solely for the purpose of producing scientific estimates, and do not necessarily represent the views or official positions of the authors, the London School of Hygiene and Tropical Medicine, any of the agencies that have supplied data for this analysis, or the donors.

The authors are solely responsible for the analyses presented here, and acknowledgment of data sources does not imply that the agencies providing data endorse the results of the analysis.

List of tables and figures

Table 1. Variables considered in the analysis as plausible predictors of mortality, by level of causation (s	see
conceptual framework).	10
Table 2. Counterfactual assumptions made for the main baseline estimate	11
Table 3. Crude summary statistics for eligible mortality surveys, overall and by year	15
Table 4. Ordinary least-squares model to predict crude death rate.	18
Table 5. Quantile-quantile non-parametric regression models to predict the injury-specific and violence	10
Specific dealining and every death tell (all ages, all asusse) by state bub and ever	19
Table 6. Estimated total, baseline and excess death ton (an ages, an causes), by state hub and over	20
Table 7. Estimated number of people killed (all ages), by state bub and overall	20
Table 8. Sensitivity analysis of the effect of uncertainty in nonulation denominators on the estimated ever	20
death toll	25
Table 9 Assessment of strength of evidence of the estimates	26
Table 10. Survey quality scoring criteria	30
Table 11. Comparison of aggregate and individual mortality questionnaires	32
Table 12. Details on sources and management of candidate mortality predictor data	36
Table 13. Estimated excess death toll by county	42
Table 14. Estimated excess death toll (all ages, all causes), by state hub and overall, using prediction	ons
from guantile-guantile regression	44
Table 15. Estimated excess death toll (all ages, all causes), by state hub and overall, using the 20	80(
census crude death rate as baseline.	44
Figure 1 Schematic of estimation steps and required inputs	8
Figure 2. Flowchart of mortality survey report and database availability.	13
Figure 3. Availability of ground mortality information by month and county. Colours indicate relative amount	unt
of information	14
Figure 4.Injury-specific death rate point estimates from eligible surveys, by month and region	16
Figure 5. Relative risk of dying among males, compared to females, by month and region. The red li	ine
indicates an equal risk	16
Figure 6. Comparison of proportional mortality due to all injuries and proportional mortality due to violer	nce
in 44 surveys with data on both.	17
Figure 7. Estimated displaced and non-displaced populations in and outside South Sudan, over time. I	ne
Figure 9. Estimated total baseling and every death tell (all area, all acuses), by year. Brackets indig	
Pigure o. Estimateu total, basenne anu excess deatri ton (an ages, an causes), by year. Diackets multa	20
Figure 9. Estimated total and baseline crude death rate, by month. Shaded areas indicate 95% confider	
intervals	21
Figure 10. Mean estimated crude death rate by county. Dec 2013 to Apr 2018.	22
Figure 11. Estimated number of people killed (all ages), by year. Brackets indicate 95% confider	nce
intervals.	23
Figure 12. Sensitivity analysis of the effect of under-reporting of under 5y deaths	26
Figure 13. Distribution of survey quality scores	31
Figure 14. Crude death rate point estimates from eligible surveys, by mid-point of the recall period a	and
region	33
Figure 15. Under 5 years death rate point estimates from eligible surveys, by mid-point of the recall per	iod
and region	34
Figure 16. Net migration rate point estimates from available surveys, by recall period mid-point and regi	on. ว⊿
Figure 17 Causal framework of predictors of excess mortality	35
Figure 18. Terms of trade (Kg of white flour that can be purchased by a medium-sized goat), by state a	and
month. The red line shows raw data. The blue line shows smoothed values	38
Figure 19. Diagnostic plots for ordinary least-squares model to predict crude death rate	40
Figure 20. Predictions versus data for quantile-quantile regression model of crude death rate	40
Figure 21. Predictions versus data for quantile-quantile regression models of injury-specific (left) a	and
violence-specific (right) death rate	41

List of abbreviations

ACLED AFP AWSD	Armed Conflict Location & Event Data Project Acute Flaccid Paralysis Aid Worker Security Database
CDR	
	Emergency Nutrition Assessment (software)
FPI	Expanded Programme on Immunisation
FFWSNET	Eamine Early Warning Systems Network
IDP	Internally displaced person
IPC	Integrated Phase Classification
LOOCV	Leave-one-out cross-validation
mo	Month
OLS	Ordinary Least-Squares (regression)
PoC	Protection of Civilians (site)
SE	Standard error
SMART	Standardised Monitoring and Assessment of Relief and Transitions
UN	United Nations
UNHCR	United Nations High Commissioner for Refugees
UN OCHA	United Nations Office for the Coordination of Humanitarian Affairs
USD	United States Dollar
U5DR	Under 5 years death rate
WFP	United Nations World Food Programme
WHO	World Health Organization
У	Year

1 Background

South Sudan context

The Republic of South Sudan became independent in July 2011 after decades of armed conflict. During the next two years, despite ongoing insecurity in different regions, the country developed its institutions and services. In December 2013, large-scale conflict resumed, initially between armed groups loyal to President Salva Kiir and his deputy, Riek Machar. A Compromise Peace Agreement signed in August 2015 temporarily led to shared government, but broke down in July 2016, with conflict gaining intensity and spreading geographically since then.

As of early 2018, the war involved about two dozen, mostly communally-based armed groups, and had caused the displacement of about 2 million people within South Sudan and a further 2.5 million as refugees to neighbouring countries. The humanitarian response to this crisis is among the largest worldwide, targeting about 6 million people with a total funding requirement of 1.7 billion USD in 2018, 45% funded as of September 2018.¹

Scope of this study

Protracted armed conflicts are characterised by increased population mortality, both directly (violence) and indirectly (increased risk of disease, reduced access to healthcare) attributable to the crisis.² Information on this "excess" mortality can inform the ongoing humanitarian response, provide evidence for resource mobilisation, and support conflict resolution.³ We aimed to estimate the death rate and death toll attributable to the war in South Sudan from its start in December 2013 to April 2018 (4y 4mo), and the number of people killed during the same period. Our analysis covers the population living within South Sudan at any point during the above period and excludes both refugees to South Sudan and South Sudanese refugees abroad.

2 Methods

Study design

We adapted a six-step statistical regression approach previously used for Somalia⁴ and akin to indirect small-area estimation methods⁵ (Figure 1). This consisted of:

- 1. Reconstructing the evolution of population denominators across time (months) and space (counties of South Sudan: see below) during the analysis period, by accounting for population growth, internal displacement and refugee movements;
- 2. Identifying, reviewing and where possible re-analysing any small-area surveys of retrospective mortality conducted in South Sudan over the last few years;
- 3. Capturing and curating data on variables that plausibly predicted mortality, based on a causal framework, and that covered the entirety of South Sudan and, at a minimum, the entire period of analysis;
- 4. Fitting a statistical model to predict death rate built using the predictors from step 3 (independent variables) and individual ground survey death rate estimates (dependent variable) from step 2;
- 5. Coming up with counterfactual assumptions about what value model predictors would have taken in the absence of the crisis, and creating a corresponding counterfactual dataset to represent "baseline" conditions;
- 6. Applying the model to both the actual and counterfactual datasets to predict both total and baseline death rates, respectively; multiplying estimated death rates by the corresponding population denominators from step 1; and subtracting the baseline from the total death toll to compute excess, crisis-attributable mortality.



Figure 1. Schematic of estimation steps and required inputs.

We further adapted the above process to estimate the number of people killed (see below). In South Sudan, the sampling universe of nearly all mortality surveys is a county (the second administrative level) or, rarely, one of the United Nations Protection of Civilians (PoC) camps in which internally displaced persons (IDPs) have sought refuge. We thus used counties as our basic geographic unit of analysis, and estimated death rates and tolls for each county-month within the period December 2013 (onset of the war) to April 2018 inclusive, the latest update time point for most datasets we used at the time of estimation (July 2018). However, so as to increase the amount of data used for statistical models and capture prewar baseline information, we extended the period of data collection to Jan 2012, and included these prewar data in model fitting.

All analyses were conducted using Microsoft Excel, R software⁶ through the RStudio platform⁷, and ArcGIS® version 10.5 software (Esri, Redlands, CA) for mapping. R analysis scripts are uploaded alongside this report. Each step is described below; additional detail is provided in the Annex.

Step 1: Population denominators

We adopted as our starting point the South Sudan National Bureau of Statistics' forward projections of April 2008 census data for each county and month, as compiled by Duke University⁸. These projections assume an annual growth rate of $\approx 3\%$ and are not corrected for displacement. We obtained data on internal displacement from the International Organisation for Migration website⁹ and from the United Nations Office for Coordination of Humanitarian Affairs: these result from triangulating and reconciling existing information on IDP location and numbers, but are usually not direct population counts or estimations. These data began in December 2013 and featured some missingness (in particular, April-May 2015 and January-April 2016): we did linear interpolation to impute missing values. We also obtained publicly available United Nations High Commissioner for Refugees (UNHCR) data^{10,11} on South Sudanese refugees in the six neighbouring countries (Sudan, Ethiopia, Kenya, Uganda, Democratic Republic of Congo, Central African Republic).

While the above datasets indicated destinations of displacement, other than for large PoC sites (Juba, Wau, Bentiu, Malakal) they did not contain the county of origin of IDPs or refugees. We thus systematically reviewed UN OCHA situation reports uploaded on the Reliefweb portal (<u>https://reliefweb.int/</u>) and information products by the REACH Initiative (<u>www.reachresourcecentre.info/advanced-search?name_list%5B0%5D=SS</u>) to identify quantitative or semi-quantitative information on displacement

flows and dates, and estimate the percent origin of IDPs or refugees for each county-month. We generally held these percentages constant until the next reported displacement wave. IDP data were altogether missing prior to December 2013, and we relied on published situation reports to approximate figures for the January 2012 to November 2013 period. We used the above information to adjust county-month census projections by subtracting emigrants (IDPs to other counties or refugees) and adding immigrants (IDPs to the county).

Step 2: Ground mortality survey data

Since 2010, the inter-agency Standardised Monitoring and Assessment of Relief and Transitions (SMART) initiative has increasingly rolled out and supported training on a systematic method for household public health and food security surveys in crisis settings.¹² The SMART toolbox includes a standard protocol, data collection instruments, template reports and the Emergency Nutrition Assessment (ENA) software to plan, enter data and automatically analyse surveys.¹³ SMART surveys are conducted primarily to monitor the prevalence of acute malnutrition. However, they usually also feature a retrospective mortality component in which respondents are interviewed about the composition of their household, births, deaths and in- or out-migrations during a so-called "recall" period whose duration (typically 3-4mo) is defined opportunistically by a memorable date in the recent past.¹⁴ Earlier SMART surveys mainly relied on an "aggregate" mortality questionnaire, which elicited simple information on the number of household members and number of demographic events during the recall period; more recently, "individual" questionnaires have been the norm, whereby each household member, past or present is listed separately (see Annex). Individual questionnaires also collect information on gender and cause of death as reported by next-of-kin respondents; this cause is usually classified broadly into disease, injury and unknown categories.

In South Sudan, the Nutrition Cluster's Information Working Group coordinates and supports the quality implementation of SMART surveys. We obtained survey reports and, where possible, raw datasets for any surveys known to the Working Group as having been conducted from January 2012 onwards. We extracted necessary meta-data from each report, noted obvious issues with implementation, particularly sampling constraints (e.g. reduction of the effective sampling frame due to insecurity in parts of the county) and applied a simplified version of a previously published algorithm¹⁵ to assign a relative quality score to each survey (see Annex). We cleaned and re-analysed each available dataset and, where discordant, adopted re-estimated figures for further analysis; generally, there was high concordance between estimates presented in survey reports and our re-analysis (see Annex).

The following survey-estimated indicators were carried forward as dependent variables for statistical models: (i) the crude death rate (CDR), defined as the number of deaths due to any cause among all ages occurring in a given population unit per unit time (in humanitarian settings, deaths per 10,000 person-days is the conventional scale); the under 5 years death rate (U5DR), namely deaths of children under 5y among the under 5y population per unit time; the injury-specific death rates, equal to CDR but with the numerator consisting only of deaths reported as injury-related; and the violence-specific death rate, whenever a survey distinguished intentional from unintentional injury deaths: this cause of death was variably coded as 'violence', 'killing' or 'war-related'.

Step 3: Mortality predictor data

We adapted a previously published conceptual framework¹⁶ of causes of mortality in crisis settings (Annex, Figure 17). We then did online searches and contacted agencies in South Sudan to identify previously collected data on variables that were direct or proxy measures of each domain in the framework. Datasets were considered eligible if they had consistent geographical (all of South Sudan at county or state level) and time (starting no later than December 2013) coverage.

Table 1 lists candidate predictor variables for which sufficiently complete datasets were identified, organised by causality level and domain; see the Annex for sources and data cleaning details. While further data on health service functionality, disease burden and humanitarian services were sought, these were either too sparse or covered only a recent period. Generally, for each dataset we corrected obvious data entry errors (e.g. incorrect county names) and removed unusual values based on range and consistency checks.

Table 1 Variables considered in	the analysis as plausible	predictors of mortality by level	of causation (see concentual framework)
Table 1. Valiables considered in	the analysis as plausible	predictors of mortality, by level	or causation (see conceptual namework).

Variable Value(s)		Domain	Geographic unit	Time unit	Span of data	Notes and assumptions
Distal			:		:	•
Rainfall	Difference between 3mo running average and 10y historical average (mm)	Climate	County	Month	Jan 2012 to Apr 2018	Explored lags of 0-6mo.
Season (climate)	month (Jan-Dec) rainy, dry month	Climate	County	Month	n/a	Expected seasonal pattern.
Incidence of armed conflict events†	events per 100,000 population	Exposure to armed conflict / insecurity	County	Month	Jan 2012 to Apr 2018	Explored lags of 0-6mo.
Incidence of attacks against aid workers†	events per 100,000 population	Exposure to armed conflict / insecurity	County	Month	Jan 2012 to Apr 2018	Explored lags of 0-6mo.
Region	northeast, northwest, southern	Exposure to armed conflict / insecurity	County	n/a	n/a	Areas under opposition control or disputed had decreased service provision.
Proportion of IDPs	proportion	Forced displacement	County	Month	Jan 2012 to Apr 2018	As per our population estimation.
Intermediate		- -				
Main local livelihood type	agriculturalist, agropastoral, pastoralist, displaced (PoC camps only)	Food security and livelihoods	County	n/a	n/a	Assumed to be constant over time.
Season (food)	lean, not lean month	Food insecurity and livelihoods	livelihood zone	n/a	n/a	Expected seasonal pattern.
Cereal harvest†	metric tonnes per 1000 population	Food insecurity and livelihoods	county	Year	Jan 2010 to Dec 2017	Distributed equally across each month of the year. Theoretical requirement ≈ 10 mt per 1000 person-months.
Terms of trade purchasing power index	Kg of white wheat flour that an average medium goat can be exchanged for (3mo running average)	Food insecurity and livelihoods	state (average of 1-3 markets per state)	Month	Jan 2011 to Apr 2018	Explored lags of 0-6mo.
Food distributions†	metric tonnes per 100,000 population	Food insecurity and livelihoods	county	Month	Jan 2013 to Apr 2018	Explored lags of 0-6mo.
Humanitarian actor presence †	actors per 100,000 population (all sectors; health, nutrition and water, hygiene & sanitation; health only)	Humanitarian service functionality	county	Month	Feb 2014 to Apr 2018	Proxy of level of humanitarian response.
Acute flaccid paralysis incidence†	cases per 100,000 population	Health service functionality	county	Month	Jan 2012 to Mar 2018	Proxy of functionality of public health surveillance.
Uptake of measles routine vaccination†	doses given per 100,000 population	Health service coverage	county	Month	Jan 2012 to Apr 2018	Assume no value = no routine vaccination taking place.
Proximate						
Cholera incidence†	cases per 100,000 population	Disease burden (epidemic)	county	Month	Jan 2012 to Apr 2018	Suspected and confirmed cases. No cases reported before 2014.
Measles incidence†	cases per 100,000 population	Disease burden (epidemic)	county	Month	Jan 2012 to Apr 2018	Suspected and confirmed cases.

† Divided by county population estimates to obtain a population rate.

Step 4: Statistical models to predict the death rate

We fitted ordinary least-squares (OLS) regression models to each survey-month containing a survey estimate of CDR or U5DR, with composite weights reflecting survey quality, any representativeness issues due to incomplete coverage of the county and the proportion of the county-month covered by the survey (see Annex). We explored the univariate association of each candidate predictor with CDR or U5DR and categorised variables appropriately. For variables that may have caused mortality with some delay (e.g. terms of trade), we explored lags of 0 to 6mo (see Table 1). We selected distal, intermediate and proximate predictors to carry into multivariate analysis based on their predictive power (adjusted $R^2 \ge 1\%$). We built a distal model first, then added intermediate predictors, and lastly proximate predictors, retaining variables if they had a plausible association with death rate, improved fit and did not worsen the expected predictive power of the model on external data, which we quantified using leave-one-out cross-validation (LOOCV). We tested plausible effect modifications using the same criteria. Recognising the nested data structure (repeat surveys within the same county), we also specified county as a random effect, but LOOCV indicated this led to substantial overfitting.

We did Box-Cox transformations of CDR and U5DR to achieve better fits. Since models remained mildly heteroskedastic, we also tried non-parametric regression techniques including quantile-quantile regression¹⁷ (predicting the median of square-root transformations of CDR and U5DR), multivariate adaptive regression splines¹⁸ and decision trees¹⁹, all of which yielded similar findings. We present only estimates from OLS and quantile-quantile regression.

Step 5: Counter-factual baseline assumptions

Table 2 presents assumptions made to create a counterfactual dataset containing values of the final model predictors in the absence of a crisis. We assumed that displacement due to the communal conflict in Pibor county would have persisted, and that elsewhere displacement levels would have been as pre-war in terms of national average, but locally proportional to each county's share of total IDPs during the war period. Apart from a few counties in which conflict intensity was lower during the war period than before, for each predictor we applied the 2012-2013 levels specific to each county.

Variable	Counterfactual assumptions	Notes
Proportion of IDPs	The proportion of IDPs in each county would have been equal to the mean total across South Sudan in Jan 2012-Nov 2013, multiplied by the county's mean percent share of total IDPs during Dec 2013-Apr 2018.	Assume that the relative scale of internal displacement during the war reflects each county's general potential for displacement. Accordingly, in the counterfactual denominator IDPs are "returned" to their counties of origin pro rata to the assumption.
	Same number of IDPs in Pibor county as mean of 2012-2013.	Assume conflict in Pibor County would have continued.
	Refugee denominators unchanged.	Necessary so as to compare an equal overall population within South Sudan: however, this may lead to under- or over-estimation if refugees experienced higher or lower mortality.
Incidence of armed conflict events	Mean of 2012-2013 level within each county, or actual level, whichever is lower.	
Incidence of attacks against aid workers	Mean of 2012-2013 level within each county, or actual level, whichever is lower.	
Terms of trade purchasing power index	Mean of 2012-2013 levels per state.	
Food distributions	Mean of Jan-Nov 2013 levels per county.	No data available prior to 2013.
Uptake of measles routine vaccination	On an annual basis, no lower than the mean of 2012-2013 levels per county.	Assumption preserves any improvements in vaccination coverage observed during the crisis period in any county.
Cholera incidence	Zero.	South Sudan had no reported cholera transmission between 2010 and 2013. Cholera is highly associated with crisis conditions.

Table 2. Counterfactual assumptions made for the main baseline estimate.

As an alternative baseline scenario, we assumed that the CDR in each county would have been equal to the 2008 census value (0.55 per 10,000 person-days).

Step 6: Estimation of excess death toll

We used a bootstrap procedure to estimate excess mortality and confidence intervals (CIs). For each of 10,000 runs and each county-month, we (i) sampled and back-transformed a random actual and baseline CDR value from the respective normal distributions of the OLS predictions; (ii) multiplied both by estimated population denominators; and (iii) subtracted baseline from actual death tolls to obtain the excess. For any desired level of aggregation (e.g. by state or by year), we summed random runs across county-months and computed medians and 95% percentile intervals of the distribution of sums. We also calculated 95%CIs through statistical error propagation rules and obtained nearly identical results.

We also present alternative excess death toll estimates using quantile-quantile regression predictions: the latter consist of a non-parametric step-function that represents the probability distribution of CDR for any given county-month, with quantiles from 1% to 99% of the distribution determining the steps. Accordingly, for step (i) above we sampled random CDR values from this step-function.

Estimation of the number of people killed

We implemented two approaches to estimate numbers killed during the war period:

- 1. In this regression approach, we fit a model to predict the injury death rate from any of the candidate predictors and CDR itself; we then fit a model to predict the violent death rate from any of the predictors and the injury death rate itself. We used quantile-quantile regression for both models, as OLS fits displayed highly non-normal residuals, but otherwise built models as described for CDR. We estimated the number of people killed for each county-month as the median and 95% percentile intervals of 10,000 runs of the following sequential process: (i) generating a random CDR prediction as in Step 6 above; (ii) predicting injury death rate based on predictor data and the random CDR value; (iii) generating a random injury death rate by sampling from from the non-parametric prediction step-function generated by quantile-quantile regression across the 0-100% quantile range; (iv) predicting violent death rate based on predictor data and the random injury death rate value as above, which we then multiplied by population. We adopted this approach to maximise data availability, noting that injury data were far more frequent than violence data, but that violence mortality, when available, was strongly correlated with injury (see Results).
- 2. In a more empirical approach, we (i) repeatedly (10,000 runs) sampled random CDR values for each county-month as in Step 6; (ii) randomly sampled a proportion of violent deaths from the observed distribution of violent death proportional mortality across all the surveys; and (iii) multiplied CDR by proportion of violent deaths.

We also tried to predict the violent death rate directly from CDR or modelling the proportion of injury and/or violent deaths using beta regression; these alternatives yielded unsatisfactory fits.

Ethics

All data were previously collected for routine humanitarian response and/or health service provision purposes, and were either in the public domain or shared in fully anonymised format. The study was approved by the Ethics Committee of the London School of Hygiene & Tropical Medicine (ref. 15334). We applied to the Ethics Review Committee of the South Sudan Ministry of Health (6 Apr 2018), but did not receive a response despite repeated inquiries.

3 Results

Mortality survey availability

Figure 2 summarises the availability of ground mortality survey reports and raw databases. Overall, we identified 227 surveys conducted between Jan 2012 and Apr 2018 by 36 different government, UN and non-governmental organisations. We included 92.5% (210/227) in the analysis and were able to re-analyse raw datasets of 86.1% (181/210) of these. Datasets were missing for 2/26 eligible surveys in 2012, 10/13 in 2013, 11/15 in 2014, 5/53 in 2015, 0/54 in 2016, 1/39 in 2017 and 0/10 in 2018.

An aggregate household questionnaire was used in 21/24 surveys with datasets in 2012, 3/3 in 2013 and 4/48 in 2015; all other datasets originated from individual-based questionnaires. Two surveys were exhaustive; the remainder did two-stage cluster sampling with probability of cluster allocation proportional to population size, and household selection within primary sampling units (usually villages) through simple random sampling out of household lists generated on site, with segmentation of villages in 52 surveys to reduce the household sampling frame.

Proportional mortality due to injuries was available for 167 surveys (146 within the dataset and a further 21 within the report). Only 44 differentiated unintentional injuries from intentional violence (hitherto referred to as 'violence') as a cause of death.



Figure 2. Flowchart of mortality survey report and database availability.

Overall, the analysis period included 764,482,000 person-months, of which 117,538,000 (15.4%) were included in the recall period of one or more surveys. Figure 3 shows survey data availability over time, by county, with darker colours proportional to the composite weight attributed to each survey-month of data (grey = no data). Survey person-time coverage was lowest in 2013 (5.4%, 6,524,000/121,095,000 person-months) and highest in 2016 (25.9%, 32,389,000/125,110,000). Data collection intensified during the crisis years.



Figure 3. Availability of ground mortality information by month and county. Colours indicate relative amount of information.

Patterns in survey-estimated mortality and other household indicators

Table 3 reports summary descriptive statistics for eligible surveys, not adjusted for possible confounders. Both CDR and U5DR estimates were in a wide range; CDR was mostly elevated compared to the 2008 census value, but U5DR was notably lower, and the proportion of infants among all under 5y deaths was consistently lower than in a country-wide multiple indicator cluster survey done in 2010. Net migration from surveyed households was negative during the crisis years, particularly in the north-eastern region (see Annex, Figure 16). Other findings were broadly consistent with census estimates.

Ctatiatiat	Overall	Year						Comparison		
StatisticT	Overall	2012	2013	2014	2015	2016	2017	2018	Value	Source
Eligible surveys (N)	210	26	13	15	53	54	39	10	n/a	
Crude death rate (per 10,000 person-days)	0.71 (0.04 to 4.84, 210)	0.75 (0.06 to 4.22, 26)	0.62 (0.13 to 1.90, 13)	0.62 (0.19 to 2.03, 15)	0.53 (0.06 to 2.78, 53)	0.79 (0.04 to 4.56, 54)	0.82 (0.25 to 4.08, 39)	1.20 (0.34 to 4.84, 10)	0.55‡	Census 2008 ²⁰
Injury-specific death rate (per 10,000 person-days)	0.15 (0.00 to 3.06, 171)	0.07 (0.00 to 1.60, 8)	0.03 (0.00 to 0.26, 3)	0.09 (0.05 to 0.43, 8)	0.09 (0.00 to 2.50, 49)	0.15 (0.00 to 2.58, 54)	0.20 (0.00 to 2.96, 39)	0.35 (0.08 to 3.06, 10)	0.14‡	Census 2008 ²⁰
Under 5 years death rate (per 10,000 child-days)	0.75 (0.00 to 4.89, 210)	1.11 (0.00 to 3.08, 26)	0.97 (0.27 to 4.89, 13)	1.01 (0.00 to 3.78, 15)	0.59 (0.00 to 2.64, 53)	0.76 (0.00 to 3.85, 54)	0.66 (0.00 to 1.85, 39)	0.73 (0.00 to 2.13, 10)	1.78‡	Census 2008 ²⁰
Proportion of under 5y deaths that were among infants <1y	0.33 (0.00 to 1.00, 145)	0.29 (0.00 to 0.57, 2)	n/a (n/a, 0)	0.40 (0.00 to 1.00, 3)	0.40 (0.00 to 1.00, 42)	0.34 (0.00 to 1.00, 52)	0.33 (0.00 to 1.00, 37)	0.25 (0.00 to 0.67, 9)	0.73	Househol d Health Survey 2010 ²¹
Household size	6.6 (3.1 to 9.9, 181)	6.6 (5.2 to 8.2, 24)	6.7 (6.4 to 6.9, 3)	6.3 (5.2 to 6.7, 4)	6.4 (3.1 to 9.6, 48)	6.6 (3.5 to 8.8, 54)	6.8 (4.3 to 9.9, 38)	7.4 (6.2 to 8.7, 10)	7	Census 2008 ²⁰
Proportion of children under 5y	0.19 (0.14 to 0.28, 181)	0.19 (0.16 to 0.28, 24)	0.19 (0.18 to 0.22, 3)	0.21 (0.20 to 0.25, 4)	0.19 (0.14 to 0.28, 48)	0.19 (0.15 to 0.26, 54)	0.19 (0.14 to 0.28, 38)	0.17 (0.15 to 0.22, 10)	0.16	Census 2008 ²⁰
Proportion of females in household	0.52 (0.46 to 0.59, 153)	0.53 (0.51 to 0.53, 3)	n/a (n/a, 0)	0.52 (0.49 to 0.59, 4)	0.52 (0.48 to 0.58, 44)	0.53 (0.48 to 0.58, 54)	0.52 (0.46 to 0.57, 38)	0.51 (0.49 to 0.53, 10)	0.48	Census 2008 ²⁰
Crude birth rate (per 1000 person-years)	33.8 (1.4 to 128.1, 181)	49.0 (13.2 to 128.1, 24)	48.7 (29.8 to 77.3, 3)	20.2 (3.1 to 71.5, 4)	32.4 (1.4 to 82.1, 48)	35.1 (7.2 to 88.2, 54)	29.1 (1.7 to 55.6, 38)	24.3 (15.9 to 109.9, 10)	37	US Census Bureau 2015 ²²
Net migration rate (per 1000 person-years)	-129 (-791 to 936, 181)	4 (-259 to 329, 24)	51 (-11 to 210, 3)	-72 (-84 to - 34, 4)	-57 (-517 to 399, 48)	-213 (-791 to 936, 54)	-174 (-762 to 97, 38)	-229 (-527 to - 86, 10)	n/a	

Table 3. Crude summary statistics for eligible mortality surveys, overall and by year.

[†] Values in cells are median (range, number of surveys containing information). [‡] Approximated by combining number of people (all ages, under 5y) present on census dates, number of deaths (all ages, under 5y) reported by households over the previous 12mo and proportion of deaths due to injury.

We explored whether the unexpectedly low U5DR values could be explained through response bias, e.g. households omitting to mention child deaths, particularly in the neonatal period. Moderately significant crude positive associations between the crude birth rate and either U5DR or the proportion of infant deaths among all deaths under 5y were observed by OLS regression (p = 0.01, p = 0.04 respectively); higher child mortality is expected as birth rate increases, but these findings may also indicate that births and neonatal/infant deaths may have been simultaneously under-reported (see Discussion). There was, however, no association between survey quality score and U5DR or proportion of infant deaths (data not shown).

Survey point estimates suggested large regional differences in the death rate due to injury (Figure 4), and a far higher death rate among males than females, especially in the northeast region (Figure 5).



Figure 4.Injury-specific death rate point estimates from eligible surveys, by month and region.



Figure 5. Relative risk of dying among males, compared to females, by month and region. The red line indicates an equal risk.

Proportional mortality due to violence was within 10% of that due to all injuries in 31/44 surveys that captured both quantities (Figure 6).



Figure 6. Comparison of proportional mortality due to all injuries and proportional mortality due to violence in 44 surveys with data on both.

Evolution of population denominators

We estimated that the population living inside South Sudan (excluding refugees from other countries) peaked at about 10.2 million right before the start of major conflict, and had declined to 9.7 million by April 2018, of whom 1.8 million were IDPs (Figure 7). The number of South Sudanese refugees in neighbouring countries rose from 0.1 million to 2.5 million during the same period.



Figure 7. Estimated displaced and non-displaced populations in and outside South Sudan, over time. The red vertical line indicates the start of major conflict.

Statistical models to predict mortality

The main OLS model to predict crude death rate is shown in Table 4. Model diagnostic plots are shown in the Annex (Figure 19). The model had moderate predictive power, and there was evidence of dilution of the regression slope, resulting in potential under-estimation. Observed associations were plausible: CDR increased linearly with incidence of armed conflict events and proportion of IDPs, and decreased linearly with vaccination uptake; CDR also appeared to increase with attacks against aid workers and where cholera was reported, but was lower among PoC-based populations and those that received food distributions, while also decreasing with increasing terms of trade.

Table 4. Ordinary least-squares model to predict crude death rate.

Predictor	Coefficient†	Standard error†	P-value
(intercept)	-0.08	0.09	0.371
Distal causal level			
Incidence of armed conflict events (events per 100,000 people; I	ag = 4mo)		
0	ref.		
0.01-0.99	0.09	0.05	0.073
1.00-1.99	0.29	0.06	<0.001
≥ 2.00	0.37	0.06	<0.001
Incidence of attacks against aid workers (incidents per 100,000	people; lag = 5	mo)	
0	ref.		
≥ 0	0.29	0.09	0.001
Region			
northwest	ref.		
northeast	0.22	0.05	<0.001
southern	0.21	0.07	0.004
Main local livelihood type			
agriculturalist	ref.		
agropastoral	-0.10	0.06	0.121
pastoralist	0.05	0.09	0.585
PoC site	-0.42	0.15	0.005
Proportion of the population that is internally displaced			
<25%	ref.		
25.0-49.9%	0.09	0.06	0.109
50.0-74.9%	0.16	0.06	0.009
75.0-99.9%	0.38	0.08	<0.001
100% (PoC sites)	-0.10	0.13	0.438
Intermediate causal level	·		
Terms of trade (Kg white flour per goat; lag =3 mo)	-0.01	0.00	<0.001
Food distributed (mt per 100,000 people; lag = 2mo)			
0	ref.		
0.1-19.9	-0.19	0.06	0.001
20.0-49.9	-0.07	0.07	0.310
50.0-199.9	-0.07	0.06	0.240
200.0-499.9	-0.12	0.06	0.051
≥ 500.0	-0.08	0.06	0.176
Uptake of measles vaccination (doses per 100,000 people)			
0	ref.		
0.1-49.9	-0.04	0.07	0.522
50.0-249.9	-0.08	0.05	0.115
≥ 250.0	-0.16	0.06	0.006
Proximate causal level	·	· · · · · ·	
Cholera incidence			
0	ref.		
≥0	0.14	0.05	0.004
Model validity		Internal	External [±]
Mean squared residuals (untransformed)		0.191	0.203
Adjusted R ²		36%	30%
Percent of predictions within ± 0.5 deaths per 10.000 person-days of	of data	74%	73%
Percent of predictions within ± 1.0 deaths per 10,000 person-days of	of data	91%	90%

† Box-Cox transformation (λ = 0.22). ‡ Expected based on leave-one-out cross-validation (LOOCV).

A quantile-quantile regression model of CDR composed of the same predictors performed similarly (Annex, Figure 20). All U5DR models had very poor predictive power and are thus not presented. Best-fit quantilequantile regression models for the injury-specific and violence-specific death rate are shown in Table 5, and corresponding prediction plots in the Annex (Figure 21).

	Injury-specific death rate			Violence-specific death rate			
Predictor	Coefficient†	Standard error†	P-value	Coefficient†	Standard error†	P-value	
(intercept)	0.12	0.01	<0.001	0.08	0.03	0.014	
Distal causal level							
Region							
northwest	ref.						
northeast	0.04	0.01	0.006				
southern	0.02	0.02	0.369				
Main local livelihood type							
agriculturalist				ref.			
agropastoral				0.10	0.04	0.006	
pastoralist				-0.10	0.04	0.023	
PoC site				0.10	0.07	0.181	
Proportion of the population that is	internally dis	placed					
<25%	ref.			ref.			
25.0-49.9%	0.06	0.02	0.018	-0.05	0.10	0.626	
50.0-74.9%	0.05	0.04	0.172	-0.08	0.06	0.158	
75.0-99.9%	0.19	0.06	0.003	-0.08	0.05	0.103	
100% (PoC sites)	0.01	0.02	0.611	0.02	0.06	0.776	
Intermediate causal level							
(n/a)							
Proximate causal level							
Cholera incidence							
0				ref.			
≥ 0				0.05	0.03	0.093	
Crude death rate	0.28	0.02	<0.001				
Injury-specific death rate				0.97	0.11	<0.001	
Model validity	Internal		External‡	Internal	E	kternal‡	
Mean squared residuals (untransformed)	0.036		0.036	0.023		0.024	
Percent of predictions that are within ± 0.1 deaths per 10,000 person- days of the data	63%		63%	89%		89%	
Percent of predictions that are within ± 0.2 deaths per 10,000 person- days of the data	81%		81%	98%		98%	

Table 5. Quantile-quantile non-parametric regression models to predict the injury-specific and violence-specific death rate.

† For median (τ = 0.5) prediction. Square-root transformation. ‡ Expected based on leave-one-out cross-validation (LOOCV).

Excess death toll estimates

During the period Dec 2013 to Apr 2018, we estimate that 1,177,600 deaths due to any cause occurred among people living within South Sudan, and that 794,600 deaths would have occurred under counterfactual assumptions (Table 2). This yields an excess death toll of **382,900** (Table 6), with the highest excess mortality projected for Jonglei, Unity and the southern (Eastern, Central, Western Equatoria) state hubs.

State hub	Total deaths (95%CI)	Baseline deaths (95%CI)	Excess deaths (95%CI)
Central Equatoria	142,900	58,200	84,700
	(139,800 to 146,200)	(57,200 to 59,200)	(81,400 to 88,200)
Eastern Equatoria	(149,700 to 153,400)	98,800 (97,400 to 100,300)	52,700 (50,200 to 55,100)
Jonglei	187,100	121,400	65,600
	(184,900 to 189,100)	(119,900 to 122,800)	(63,100 to 68,100)
Lakes	92,700	87,500	5,200
	(91,600 to 93,900)	(86,700 to 88,300)	(3,700 to 6,700)
Northern Bahr el Ghazal	72,700	53,300	19,500
	(71,500 to 73,900)	(52,500 to 54,000)	(18,000 to 20,900)
Abyei Special	6,900	12,800	-5,900
Administrative Area	(6,700 to 7,200)	(12,400 to 13,300)	(-6,500 to -5,400)
Unity	163,800	93,400	70,300
	(161,600 to 165,900)	(92,400 to 94,700)	(67,800 to 72,700)
Upper Nile	142,300	122,400	19,900
	(140,800 to 143,800)	(121,200 to 123,700)	(17,900 to 22,000)
Warrap	86,700	54,900	31,800
	(85,500 to 87,800)	(54,100 to 55,700)	(30,400 to 33,200)
Western Bahr el Ghazal	44,900	44,500	300
	(43,800 to 46,000)	(43,700 to 45,500)	(-1,000 to 1,700)
Western Equatoria	86,100	47,400	38,800
	(85,000 to 87,300)	(46,700 to 48,000)	(37,500 to 40,100)
Total	1,177,600	794,600	382,900
	(1,171,800 to 1,183,300)	(791,300 to 798,000)	(376,000 to 389,800)

Table 6. Estimated total, baseline and excess death toll (all ages, all causes), by state hub and overall.

We estimate that excess deaths were highest in 2016 and 2017 (Figure 8), mirroring the trends in elevation of CDR compared to the counterfactual baseline (Figure 9).



Figure 8. Estimated total, baseline and excess death toll (all ages, all causes), by year. Brackets indicate 95% confidence intervals.



Figure 9. Estimated total and baseline crude death rate, by month. Shaded areas indicate 95% confidence intervals.

Across South Sudan, Rubkona, Leer, Mayendit, Malakal, Koch, Panyijiar, Guit, Fashoda, Duk, Mundri West, and Panyikang counties experienced average CDR levels > 1 per 10,000 person-days during the analysis period, with CDR highest in Unity state hub (Figure 10). Death tolls and mean excess death rate by county are presented in the Annex, Table 13 and Figure 22 respectively.

Alternative estimation methods yielded reasonably similar estimates: a quantile-quantile regression of CDR predicted an excess death toll of 417,400 (Annex, Table 14 for state hub results), while using the 2008 census CDR as the baseline gave a lower estimate of 279,300 (Annex, Table 15).



Figure 10. Mean estimated crude death rate by county, Dec 2013 to Apr 2018.

Estimates of people killed

We estimate that 190,000 people were killed during the civil war period (note that this total includes any counterfactual baseline of violent mortality that would have occurred even without the war). An alternative method yields a somewhat higher total (Table 7).

State bub	Number of people killed (95%Cl)				
State hub	Method 1 (regression-based)	Method 2 (empirical)			
Central Equatoria	14,700 (12,900 to 17,000)	26,500 (22,500 to 30,500)			
Eastern Equatoria	10,000 (9,000 to 11,100)	28,100 (25,300 to 31,000)			
Jonglei	34,700 (31,000 to 38,800)	34,800 (31,700 to 37,700)			
Lakes	12,800 (11,200 to 14,400)	17,300 (15,400 to 19,300)			
Northern Bahr el Ghazal	9,500 (8,000 to 11,400)	13,400 (11,300 to 15,300)			
Abyei Special Administrative Area	800 (600 to 1,200)	1,300 (900 to 1,700)			
Unity	55,100 (48,300 to 63,400)	30,500 (26,900 to 34,100)			
Upper Nile	28,300 (25,400 to 31,900)	26,400 (24,000 to 29,000)			
Warrap	11,900 (10,600 to 13,700)	16,100 (14,200 to 18,100)			
Western Bahr el Ghazal	4,700 (3,800 to 5,900)	8,300 (6,900 to 10,000)			
Western Equatoria	7,000 (6,300 to 7,700)	16,100 (14,400 to 17,800)			
Total	190,000 (180,600 to 200,300)	218,900 (209,900 to 227,200)			

Table 7. Estimated number of people killed (all ages), by state hub and overall.

Among the 21 surveys that reported violent deaths by age and gender, the mean proportion of those killed who were children under 18y was 10.6% (median 0.0%, range 0.0% to 57.1%), while women aged 18y or older were 7.9% (median 0.0%, range 0.0% to 33.3%); note that denominators are very small. Data were too sparse to attempt gender- and age-specific models of violent deaths.

As for excess deaths, most violent mortality occurred in 2016 and 2017 (Figure 11).



Figure 11. Estimated number of people killed (all ages), by year. Brackets indicate 95% confidence intervals.

4 **Discussion**

Findings in context

Our study suggests that South Sudanese living within South Sudan experienced consistently elevated death rates during the war period, peaking in 2016 and 2017. Mortality appeared highest in the northeast and southern regions of the country. A high proportion of deaths were due to injury and violence, mostly affecting adult males but not entirely sparing women and children. Unexpectedly, there was no evidence that under 5y mortality increased from baseline.

We believe these are the first such estimates for South Sudan as a whole. A survey²³ done in 2016 in Unity state hub estimated a CDR > 1 per 10,000 person days, with about 7000 violent deaths over 1y in a population of 200-250,000, similar to our 2016 estimates for Unity (data not shown). Systematic monitoring of refugee arrivals to Ugandan camps during March-December 2017 yielded a pre-arrival CDR of 1.8 per 10,000 person-days, with 75% of deaths due to violence.²⁴

During 2016-2017, deteriorating food security was a key focus of the South Sudan humanitarian response. The Integrated Phase Classification (IPC) system for benchmarking the gravity of food insecurity and associated risks issued progressively starker classifications, with the number of people projected to be severely food insecure rising from 2.1 million (September 2014) to a peak of 5.5 million in May-July 2017.²⁵ Our study retrospectively corroborates some of the IPC classifications, at least on a relative scale, by confirming that Unity state hub in particular experienced very high excess mortality. Further deteriorations in food security may well occur on a vast scale in future if the war continues to damage livelihoods. However, our findings do not suggest death rates during the analysis period consistent with widespread severe food insecurity and malnutrition on a scale seen in recent famine events^{4,26}.

Instead, these and other estimates point to a conflict that, for civilians, has been arguably even more violent than has been reported, and that has caused massive waves of displacement. Violence itself appears to be the key driver of overall mortality and of deaths indirectly attributable to the war. By comparison, violence caused 68-93% of deaths during the acute conflict phase in Darfur²⁷, mostly among male adults²⁸; 18% in rebel-held areas of Angola²⁹; 67-76% in the Ituri region³⁰ and 35-40% in the North Kivu province³¹ of the Democratic Republic of Congo; and 91% among Central African refugees in Chad ³². These surveys covered displaced populations and time periods of acute conflict; studies of excess mortality with a longer time span in large populations have generally pointed to more moderate but sustained elevations in death rate, as in the case of this study: for example, about 605,000 excess deaths were estimated across the Democratic Republic of Congo during 2003-2004³³, and 298,000 in Darfur from 2003 to 2008, of which 62,000 violent³⁴; in Iraq, conflicting estimates of 654,000 excess deaths, nearly all violent³⁵, and 151,000 violent deaths³⁶ were issued for the period 2003-2006, and 405,000 (about 240,000 violent) for 2003-2011³⁷.

Study strengths and limitations

Unlike a single crisis-wide ground survey, our method makes efficient use of a wealth of existing data and ties mortality estimation to a realistic causal framework. The statistical model we developed, while only moderately predictive, performs well on external validation, and, critically, displays plausible associations between predictors and mortality expected based on our causal framework and existing evidence about the public health consequences of armed conflict. Our analytic approach enables estimation over a large time period and geographic scale, while also providing information for single county-month units or any aggregation of these over time or geography dimensions. Critically, it provides data for areas and periods that were never included in ground data collection, and would thus otherwise have been omitted from estimates.

Key study limitations are discussed below and their overall effect summarised in Table 9.

Insufficient error propagation. Our statistical analysis ignores errors around survey point estimates, meaning only regression model error is reflected in confidence intervals, which are consequently unrealistically narrow. We could not identify a straightforward solution to incorporate survey error in the analysis, but this should be explored in further applications of the method, e.g. through a combination of Monte Carlo and Bayesian model averaging techniques.

Uncertainty around population denominators. We likewise unrealistically assumed perfect accuracy of population figures. However, denominator error would affect both model fits (through inaccuracy in calculation of population rates, e.g. conflict intensity, and the proportion of IDPs) and the denominator used to compute death tolls from death rates. We can explore this uncertainty by reconstructing population denominators assuming a range of upward or downward biases in census projections and the numbers of IDPs and refugees within and outside each county. Applying these alternative denominators yields considerable variation in excess death tolls (Table 8), from as low as 183,800 assuming census figures are greatly under-estimated and displacement figures greatly over-estimated, to 580,700 in the opposite scenario. We believe that inaccurate displacement figures are more likely than inaccurate census projections, but as shown in Table 8 error in the latter is more impactful.

		Bias in displacement figures								
		-30%	-20%	-10%	0%	+10%	+20%	+30%		
suc	-30%	267,600	253,600	239,700	225,700	211,700	197,800	183,800		
ectic	-20%	319,800	305,800	291,800	277,900	263,900	250,000	236,000		
proj	-10%	371,900	358,000	344,000	330,100	316,100	302,200	288,200		
sus	0%	424,100	410,200	396,200	382,300	368,300	354,300	340,400		
cen	+10%	476,300	462,400	448,400	434,400	420,500	406,500	392,600		
s in	+20%	528,500	514,500	500,600	486,600	472,700	458,700	444,700		
Bia	+30%	580,700	566,700	552,800	538,800	524,800	510,900	496,900		

Table 8. Sensitivity analysis of the effect of uncertainty in population denominators on the estimated excess death toll.

Under-reporting of child deaths. We believe that under-reporting of child deaths during household interviews is the most plausible explanation for the unusually low U5DR estimates generated by most eligible surveys. That only \approx 30-35% of under 5y deaths in the surveys were among infants strongly suggests that babies and very young children may have been differentially omitted: this is consistent with our and colleagues' anecdotal experience of conducting mortality surveys in difficult settings without having sufficient time to ask probing questions of household respondents, and double-check information provided. It may also reflect cultural sensitivities around discussing children's deaths specific to South Sudan. The SMART mortality questionnaire has never been thoroughly validated in different settings.³⁸

We explored the effect of increasing proportions of under-reporting of under 5y deaths (25%, 50%, 75%) by augmenting survey CDR point estimates accordingly, re-fitting OLS models and estimating excess death tolls based on the new model predictions. As shown in Figure 12, while the actual and baseline death tolls rise substantially as under-reporting increases, the net effect on excess death toll is moderate. However, this sensitivity analysis assumes that the proportion of under-reporting remained constant over time: it is plausible instead that under-reporting increased during the crisis period, e.g. due to more difficult circumstances of survey implementation: this would better account for the apparent lack of change in U5DR during the war years, which is inconsistent with evidence from various other armed conflicts.^{33,39-41} Differential under-reporting as a function of conflict intensity would cause a greater under-estimation in excess death toll than that shown in Figure 12.



Figure 12. Sensitivity analysis of the effect of under-reporting of under 5y deaths.

Other issues. For some counties we estimate a negative excess death toll (Annex, Table 13): this may reflect genuine improvements in health status in some areas of South Sudan, compared to the baseline, but may also be due to model error and/or artificially returning displaced populations to their counties of origin in the counterfactual scenario, resulting in smaller denominators and thus death tolls in the counties with highest displacement and thus presumably highest CDR.

We did not attempt estimation of excess deaths among South Sudanese refugees. It is unclear whether this would have added to the overall death toll: refugees tend to experience lower mortality than other crisis-affected groups⁴², meaning South Sudanese abroad may have had a lower death rate than if they had remained within their country.

Counterfactual assumptions made to estimate baseline mortality may be inappropriate: for example, it is possible that in the absence of a major civil war, South Sudan would have known even less displacement and insecurity than we assumed counterfactually, or vice versa that local communal conflicts would have increased. While these assumptions can be questioned, conservatively adopting the 2008 baseline death rate still yields a death toll of 279,000 (Annex, Table 15).

Lastly, the observed dilution of the CDR regression slope (towards under-prediction) is known to often result from problems with predictor data quality⁴³: for any independent variable, non-directional measurement error attenuates the observed correlation with the dependent variable (death rate). By contrast, any systematic error in predictor data (e.g. underestimation of cholera incidence) would probably have a low net effect on models, provided this bias remained constant over time.

Characteristic	Estimate of excess death toll	Estimate of number of people killed
Precision assessment		
Known or suspected reasons for under- estimating random error	No error propagation from survey estimates of CDR	No error propagation from survey estimates of CDR No error propagation from survey estimates of proportional mortality
Known or suspected reasons for over- estimating random error	[none]	[none]
Likely overall robustness of confidence intervals	Low (overly narrow)	Very low (overly narrow)

Table 9. Assessment of strength of evidence of the estimates.

Bias assessment				
Known or suspected reasons for under- estimation	Under-reporting of child deaths, with possible increase in under-reporting over time Dilution of CDR regression fit Selection bias due to exclusion of insecure or inaccessible areas of a county from the survey's sampling frame (documented for 56/210 or 26.6% surveys)	Dilution of CDR regression fit Selection bias due to exclusion of insecure or inaccessible areas of a county from the survey's sampling frame (documented for 56/210 or 26.6% surveys)		
Known or suspected reasons for over- estimation	Over-reporting of displacement figures Reduction in birth rate and increase in mortality during the war period, leading to lower rate of population growth and thus over-estimation of population	Over-reporting of displacement figures Reduction in birth rate and increase in mortality during the war period, leading to lower rate of population growth and thus over-estimation of population		
Other possible biases with unclear directionality	Inaccuracy in census estimates Scant displacement data prior to 2014 Faulty assumptions about the counterfactual baseline (particularly around conflict intensity and displacement)	Inaccuracy in census estimates Sparse data on proportional mortality due to violence		
Likely overall extent and direction of bias	Moderate under-estimation	Mild under-estimation		

Conclusions

Our findings illuminate the human cost of protracted conflict in South Sudan. They should spur warring parties and international actors to seek lasting conflict resolution, and, failing this, to conduct military action in accordance with international law.

This study also provides a crude metric against which to benchmark the extent to which the humanitarian response to the South Sudanese crisis has been able to reach those in need with timely, quality interventions. The large number of excess deaths not directly attributable to violence, and thus potentially avertable through humanitarian services, suggests insufficient access to affected populations, inadequate resourcing of humanitarian actors and/or sub-optimal performance of humanitarian services. Our study does not provide insight on the relative importance of the above factors. It should, however, spur humanitarian actors to urgently review and address gaps in the response, including whether current funding levels are commensurate with need. Humanitarian action also requires safe and unobstructed movement of humanitarian staff and supplies across the country: warring factions should heed previous calls^{44,45} to remove security and bureaucratic obstacles to humanitarian operations.

Statistical analysis of existing survey and other previously collected data can efficiently produce estimates of crisis-attributable mortality, as an alternative or complement to ground data collection. A possible extension of this approach, provided data are made more systematically available, could be to generate ongoing forward-predictions of mortality over short time horizons, thereby supporting real-time decision-making by humanitarian and policy actors. As noted elsewhere²⁵, limitations in data availability, and problems with the quality of important data inputs such as population and displacement denominators, limit the validity of this and similar crisis-wide analyses: an effort to strengthen data across humanitarian sectors will enable clearer and more timely situational awareness and analysis to support the response.

5 References

1. United Nations Office for the Coordination of Humanitarian Affairs. South Sudan. 2018. https://www.unocha.org/south-sudan (accessed 31 Aug 2018.

2. Salama P, Spiegel P, Talley L, Waldman R. Lessons learned from complex emergencies over past decade. *Lancet* 2004; **364**(9447): 1801-13.

3. Checchi F, Roberts L. Documenting mortality in crises: what keeps us from doing better. *PLoS Med* 2008; **5**(7): e146.

4. Food and Agriculture Organisation, Famine Early Warning Systems Network. Mortality among populations of southern and central Somalia affected by severe food insecurity and famine during 2010-2012. Rome and Washington, DC: FAO and FEWS NET, 2013. http://www.fsnau.org/downloads/Somalia_Mortality_Estimates_Final_Report_8May2013_upload.pdf, accessed 28 May 2018

5. Rao JNK, Molina I. Small area estimation. 2nd ed. Hoboken, NJ: John Wiley & Sons, Inc.; 2015.

6. R Development Core Team. R: A language and environment for statistical computing. 2008. http://www.r-project.org.

7. RStudio Team. RStudio: Integrated Development for R. 2015. http://www.rstudio.com/.

8. Jordan L. South Sudan – Annual County Population Estimates. 2018. <u>https://data.humdata.org/dataset/south-sudan-annual-county-population-estimates-2008-2020</u> (accessed 15 June 2018).

9. International Organisation for Migration. Displacement Tracking Matrix. Geneva, Switzerland: IOM; 2018.<u>https://displacement.iom.int/south-sudan</u>

10. United Nations High Commissioner for Refugees. Operational Portal: Refugee Situations. 2018. https://data2.unhcr.org/en/situations/southsudan (accessed 5 June 2018.

11. United Nations High Commissioner for Refugees. Uganda Comprehensive Refugee Response Portal. 2018. <u>https://ugandarefugees.org/en/country/uga</u> (accessed 10 June 2018.

12. Standardised Monitoring and Assessment of Relief and Transitions (SMART). Measuring Mortality, Nutritional Status, and Food Security in Crisis Situations: SMART Methodology. SMART Manual Version 2, 2017. https://smartmethodology.org/survey-planning-tools/smart-methodology/, accessed 28 May 2018

13. Erhardt J. Emergency Nutrition Assessment (ENA) Software for SMART. 2015. https://smartmethodology.org/survey-planning-tools/smart-emergency-nutrition-assessment/.

14. Cairns KL, Woodruff BA, Myatt M, Bartlett L, Goldberg H, Roberts L. Cross-sectional survey methods to assess retrospectively mortality in humanitarian emergencies. *Disasters* 2009; **33**(4): 503-21.

15. Prudhon C, de Radigues X, Dale N, Checchi F. An algorithm to assess methodological quality of nutrition and mortality cross-sectional surveys: development and application to surveys conducted in Darfur, Sudan. *Popul Health Metr* 2011; **9**(1): 57.

16. Checchi F, Warsame A, Treacy-Wong V, Polonsky J, van Ommeren M, Prudhon C. Public health information in crisis-affected populations: a review of methods and their use for advocacy and action. *Lancet* 2017; **390**(10109): 2297-313.

17. Koenker R. Quantile regression in R: a vignette2018. <u>https://cran.r-project.org/web/packages/quantreg/vignettes/rq.pdf</u> (accessed 23 July 2018).

18. Milborrow S. Notes on the earth package2018. <u>http://www.milbo.org/doc/earth-notes.pdf</u> (accessed 25 July 2018).

19. Therneau TM, Atkinson EJ. An Introduction to Recursive Partitioning Using the RPART Routines2018. https://cran.r-project.org/web/packages/rpart/vignettes/longintro.pdf (accessed 28 July 2018).

20. Government of Southern Sudan, Southern Sudan Centre for Census SaE. Southern Sudan Counts: Tables from the 5th Sudan Population and Housing Census, 2008. Juba: Government of South Sudan, 2010.<u>http://www.ssnbss.org/sites/default/files/2016-</u>

<u>08/southern_sudan_counts_tables_from_the_5th_sudan_population_and_housing_census_2008.pdf</u> (accessed 27 May 2018).

21. Ministry of Health, National Bureau of Statistics, United Nations Children's Fund. South Sudan Household Survey 2010: Monitoring the Situation of Children and Women in South Sudan. Juba, South Sudan: Ministry of Health, 2013.<u>http://www.ssnbss.org/sites/default/files/2016-</u>08/Sudan_Household_Health_Survey_Report_2010.pdf (accessed 25 May 2018).

22. United States Census Bureau. International database. Washington, DC: US Census Bureau; 2018.<u>https://www.census.gov/data-tools/demo/idb/informationGateway.php</u>

23. Office of the Deputy Humanitarian Coordinator for South Sudan. Crisis impacts on households in Unity 2014-2015: State, South Sudan, Initial results of а survey. Juba, South Sudan, 2016.https://reliefweb.int/sites/reliefweb.int/files/resources/160202_Crisis%20impacts%20on%20households %20in%20Unity%20State SS.pdf (accessed 20 August 2018).

24. Ardiet D. Weekly surveillance among new arrivals of South Sudanese refugees in Uganda. Paris, France: Epicentre; 2018

25. Maxwell D, Hailey P, Kim JJ, McCloskey E, Wrabel M. Constraints and Complexities of Information and Analysis in Humanitarian Emergencies: Evidence from South Sudan. Boston, MA: Feinstein International Center, Tufts University, 2018.<u>http://fic.tufts.edu/assets/SouthSudan-Case-Study-Report.pdf</u> (accessed 30 August 2018).

26. Centers for Disease C, Prevention. Notes from the field: mortality among refugees fleeing Somalia--Dadaab refugee camps, Kenya, July-August 2011. *MMWR Morb Mortal Wkly Rep* 2011; **60**(33): 1133.

27. Depoortere É, Checchi F, Broillet F, et al. Violence and mortality in West Darfur, Sudan (2003-04): epidemiological evidence from four surveys. *Lancet* 2004; **364**(9442): 1315-20.

28. Grandesso F, Sanderson F, Kruijt J, Koene T, Brown V. Mortality and malnutrition among populations living in South Darfur, Sudan: results of 3 surveys, September 2004. *Jama* 2005; **293**(12): 1490-4.

29. Grein T, Checchi F, Escriba JM, et al. Mortality among displaced former UNITA members and their families in Angola: a retrospective cluster survey. *BMJ* 2003; **327**(7416): 650.

30. Ahoua L, Tamrat A, Duroch F, Grais RF, Brown V. High mortality in an internally displaced population in Ituri, Democratic Republic of Congo, 2005: results of a rapid assessment under difficult conditions. *Glob Public Health* 2006; **1**(3): 195-204.

31. Alberti KP, Grellety E, Lin YC, et al. Violence against civilians and access to health care in North Kivu, Democratic Republic of Congo: three cross-sectional surveys. *Confl Health* 2010; **4**: 17.

32. Coldiron ME, Roederer T, Llosa AE, et al. Retrospective mortality among refugees from the Central African Republic arriving in Chad, 2014. *Confl Health* 2017; **11**: 7.

33. Coghlan B, Brennan RJ, Ngoy P, et al. Mortality in the Democratic Republic of Congo: a nationwide survey. *Lancet* 2006; **367**(9504): 44-51.

34. Degomme O, Guha-Sapir D. Patterns of mortality rates in Darfur conflict. *Lancet* 2010; **375**(9711): 294-300.

35. Burnham G, Lafta R, Doocy S, Roberts L. Mortality after the 2003 invasion of Iraq: a cross-sectional cluster sample survey. *Lancet* 2006; **368**(9545): 1421-8.

36. Alkhuzai AH, Ahmad IJ, Hweel MJ, et al. Violence-related mortality in Iraq from 2002 to 2006. *N Engl J Med* 2008; **358**(5): 484-93.

37. Hagopian A, Flaxman AD, Takaro TK, et al. Mortality in Iraq associated with the 2003-2011 war and occupation: findings from a national cluster sample survey by the university collaborative Iraq Mortality Study. *PLoS Med* 2013; **10**(10): e1001533.

38. Brown V, Checchi F, Depoortere E, et al. Wanted: studies on mortality estimation methods for humanitarian emergencies, suggestions for future research. *Emerg Themes Epidemiol* 2007; **4**(1): 9.

39. Wagner Z, Heft-Neal S, Bhutta ZA, Black RE, Burke M, Bendavid E. Armed conflict and child mortality in Africa, 1995–2005: a geospatial analysis. *Lancet* 2018; **S0140-6736**(18): 31437-5.

40. Polonsky JA, Ronsse A, Ciglenecki I, Rull M, Porten K. High levels of mortality, malnutrition, and measles, among recently-displaced Somali refugees in Dagahaley camp, Dadaab refugee camp complex, Kenya, 2011. *Confl Health* 2013; **7**(1): 1.

41. Guha-Sapir D, Panhuis WG. Conflict-related mortality: an analysis of 37 datasets. *Disasters* 2004; **28**(4): 418-28.

42. Heudtlass P, Speybroeck N, Guha-Sapir D. Excess mortality in refugees, internally displaced persons and resident populations in complex humanitarian emergencies (1998-2012) - insights from operational data. *Confl Health* 2016; **10**: 15.

43. Frost C, Thompson S. Correcting for regression dilution bias: comparison of methods for a single predictor variable. *Journal of the Royal Statistical Society Series A* 2000; **163**: 173-90.

44. United Nations Office for the Coordination of Humanitarian Affairs. Bureaucratic access impediments to humanitarian operations in South Sudan. Juba: UN OCHA, 2017.<u>http://docs.southsudanngoforum.org/sites/default/files/2017-</u>

11/SBureaucratic_Access_Impediments_Survey_Report.pdf (accessed 20 September 2018).

45. United Nations Office for the Coordination of Humanitarian Affairs. South Sudan: humanitarian coordinator deeply concerned by bureaucratic impediments and access constraints. Juba; 2016.<u>https://reliefweb.int/sites/reliefweb.int/files/resources/SS_161130_Press_Release_HC_concerned_by_b</u> ureaucratic_impediments.pdf (accessed 20 September 2018).

6 Annex

Notes on geographical names and units

Over the last 3y, the government of South Sudan has re-organised the country's administrative levels into 32 new states and 180 new counties. Different parties to the conflict may or may not recognise these new administrative divisions. In practice, the humanitarian response is still structured along the previous system of 10 states, which we refer to here as state hubs. All datasets we collected were organised according to the old 10-state, 79-county system, which we have accordingly adhered to for the purpose of this analysis. Furthermore, any data from Akoka County have been aggregated with those from Baliet County, which it previously was part of, as different datasets included or did not include the former. The status of Abyei Special Administrative Area is disputed between South Sudan and Sudan, but this territory is usually included in humanitarian response planning for South Sudan, and as such we also included it in this analysis. Administrative names and geographical boundaries referred to in this report do not necessarily represent the views of the study authors, donors or any of the agencies reporting data.

Quality scoring of eligible mortality surveys

So as to differentially weight surveys according to their quality, we scored each available report from 0% to 100% based on a set of 25 yes-no questions under 10 domains of survey design, implementation and analysis (Table 10), selected from a published paper¹. We scored each question as 0 if the corresponding criterion was not meant or the answer was unclear from the survey report, 1 if the criterion was met or could be reasonably inferred, and "N/A" if the question did not apply to the report in question. So as to not give undue weight to any of the 10 domains, we averaged the total binary scores for each non-"N/A" question under each domain, summed the area averages and converted the total to a percentage. If no report was available (one survey), we arbitrarily assumed a quality score of 25%.

Domain	Question			
Survey design (sampling b	Survey design (sampling biases)			
	Is the sampling universe defined?			
Sampling trame	Is the population size current and cross-checked?			
	Is there a clear description of the sampling methodology?			
	Is sampling fully non-purposive (at every stage)?			
Sampling design	IF systematic random sampling was done: is there a clear description of a non-arbitrary sampling step?			
	IF cluster sampling was done: is there a clear description of cluster sampling?			
	Is there a clear description of the household selection?			
	IF segmentation was performed: was it done non-purposively and weighted?			
Cluster sampling –	IF only the first household was selected randomly: Was the method by which the first household was sampled clear and non-purposive?			
	IF all households were selected randomly: Was the method by which the first household was sampled clear, non-purposive, and non-arbitrary?			
	IF all households were selected randomly: Was the source of lists current and cross- checked?			
	Is there a description of a valid revisit strategy?			
Survey non-response	Was the number of non-responding households reported?			
	Was the percentage of non-respondents < 15%?			
Precision of cluster sampling	Is there a sufficiently large (>25) number of clusters per explicit stratum or entire sampling frame?			

Table 10. Survey quality scoring criteria.

¹ Prudhon C, de Radigues X, Dale N, Checchi F. An algorithm to assess methodological quality of nutrition and mortality cross-sectional surveys: development and application to surveys conducted in Darfur, Sudan. *Popul Health Metr* 2011; 9(1): 57.

Domain	Question		
Survey implementation (me	easurement biases)		
	Was there was a pre-piloted structured questionnaire in the local language?		
Questionneire	Is the recall period clearly marked?		
Questionnaire	Was a calendar used as an aide-memoire?		
	Was the questionnaire for individuals only?		
Training and supervision	Were interviewers both trained and supervised?		
Training and supervision	Were surveys checked at the end of each day?		
Desall hiss	Was a recall period provided in the report?		
Recall blas	Was the recall period longer than 2 years?		
Analysis			
Stratification	IF explicit stratification was done: Was the analysis weighted for unequal sampling probabilities?		
	IF implicit stratification was done: Was the analysis stratified?		
Estimation	Was a sample size given?		
	Were confidence intervals reported?		
	Were standard errors / confidence intervals adjusted for design effect (clustering)?		

Figure 13 shows the distribution of survey quality scores. The median score was 77% with an inter-quartile range of 63% to 82%.





Re-analysis of mortality survey datasets

In addition to quality scoring, we extracted from each report the survey's recall period, point estimates and 95%CI of CDR and U5DR, the proportion of deaths due to injury and/or violence, any stated difference between the theoretical sampling universe (e.g. a county) and the communities actually included in the sampling frame, and the reason for this difference (e.g. insecure, inaccessible areas).

Available datasets arose from two types of standardised survey questionnaires (Table 11). Generally, households were defined as groups of people living under the same roof and sharing food from the same

pot (accordingly, multiple wives living and eating in different houses were considered separate households).

Characteristic	"Aggregate" questionnaire	"Individual" questionnaire	
Questionnaire process	 i. Ask for the number of people who slept in the household during the previous night. ii. Ask for the number of people who joined the household during the recall period. iii. Ask for the number of people who left the household during the recall period. iv. Ask for the number of births during the recall period. v. Ask for the number of deaths during the recall period. 	 i. List people who slept in the household during the previous night: establish whether they joined or were born during the recall period. ii. List people who left during the recall period. iii. List people who have died during the recall period: establish whether they were born during the period (infant deaths) and ask about cause and location of death. 	
Age information	Under 5y, older	Individual ages in years	
Gender information	No	Yes	
Cause of death information	No	Yes. Categories varied by survey, but all surveys included an 'injury/trauma' category, and some distinguished 'violence/killing' from other injuries.	
Calculation of person-time denominator (all rates except U5DR)	$(n_{all} + 0.5d_{all} + 0.5l_{all} - 0.5b_{all} - 0.5j_{all}) \cdot R$, where n = people in household now; d = dead; l = left; b = born; j = joined; all = all ages; R = recall period in days. This expression assumes people joined and left households, were born and died at the mid-point of the recall period		
Calculation of person-time denominator (U5DR)	$\begin{array}{l} (n_{u5}+0.5d_{u5}+0.5l_{u5}-0.5b_{u5}-0.5j_{u5}+0.5a_{u5})\cdot R,\\ \text{where n = people in household now; l = left; j = joined; a; aged out of under 5y cohort; u5 = under 5y;\\ \text{R = recall period in days. Assuming constant birth rate, } a_{u5}=b_{u5}-d_{u5}$. Therefore, the person-time expression simplifies to $(n_{u5}+0.5l_{u5}-0.5j_{u5})\cdot R.\\ \text{This expression assumes children joined and left households, were born and died at the mid-point of the recall period.} \end{array}$		

T 1 1 4 4 1	· ·	1	and the second second		and the second
Table 11.	Comparison	of aggregate	and individual	i mortality o	questionnaires.

Datasets were cleaned based on obvious errors (e.g. values out of the allowed range) and combined with the stated recall period to compute CDR, U5DR, crude birth rate, in-, out- and net migration rate, and, for individual datasets only, injury-specific death rate and stratification of death rates by gender. We used the survey package in R to calculate point estimates and 95%Cls for each indicator, assuming a Poisson distribution of deaths and adjusting standard errors for cluster sampling design, unless sampling at every stage was simple or systematic. The two exhaustive surveys were treated as simple random samples.

For 164/181 (90.6%) surveys the re-estimated CDR was within 0.10 deaths per 10,000 person-days of the reported CDR. For six (3.3%) surveys it was 0.1 to 0.5 deaths per 10,000 person-days higher, and for a further 3 (1.7%) between 0.5 and 1.5 higher. Five (2.8%) surveys had a re-estimated CDR 0.1 to 0.5 deaths per 10,000 person-days below the reported value, and 2 (1.1%) between 0.5 and 1.5 lower. The median difference between the re-estimated and reported CDR was 0.0006 deaths per 10,000 person-days.

Treatment of mortality surveys without available datasets

We assumed point estimates provided in the report were accurate for these surveys. If no dataset was available for a given survey *s*, we estimated the standard error (SE) of its log death rates from the reported point estimate \hat{y}_s and its 95%CI: since $y_{s,0.975} = e^{(\ln \hat{y}_s + 1.96SE (\ln \hat{y}_s))}$ (and $y_{s,0.025} = e^{(\ln \hat{y}_s - 1.96SE (\ln \hat{y}_s))}$), by rearrangement $SE (\ln \hat{y})_s = \frac{\ln y_{s,0.975} - \ln \hat{y}_s}{1.96}$. For the single survey that did not report a 95%CI, we calculated 95%CI assuming a Poisson distribution and simple random sampling, namely $SE (\ln \hat{y})_s = \frac{1}{\sqrt{d_s}}$. We checked whether reported 95%CIs were plausible by computing the asymmetry between the upper and

lower CI: for one survey with asymmetric U5DR CI, we re-computed a 95%CI based on the reported number of deaths under 5y and an assumed design effect K of 2.0 (*SE* (ln $\hat{y})_s = \frac{1}{\sqrt{d_c}}$ K).

Whenever the proportion of deaths due to injury and/or violence were provided in the report, we multiplied them by the reported CDR to compute the point estimate of the injury and/or violence death rates.

Patterns in survey estimates

Figure 14 and Figure 15 show, respectively, CDR and U5DR point estimates over time, by region of South Sudan. Figure 16 shows the corresponding pattern for the net household migration rate.



Figure 14. Crude death rate point estimates from eligible surveys, by mid-point of the recall period and region.



Figure 15. Under 5 years death rate point estimates from eligible surveys, by mid-point of the recall period and region.





Conceptual framework of candidate mortality predictors

The conceptual framework of candidate mortality predictors is presented below (Figure 17). Boxes and causal arrows in grey are those for which we could not locate any consistently available data.



Figure 17. Causal framework of predictors of excess mortality.

Further details on predictor data

Further details on sources of candidate predictor data and decisions we took while managing the data are presented in Table 12.

Variable	Source	Source and data management notes
Rainfall	United Nations World Food Programme Food Security Analysis data site: http://dataviz.vam.wfp.org/season al_explorer/rainfall_vegetation/vis ualizations	We computed the 3mo running average of the absolute difference between rainfall levels in mm and the historical average over the past 10y.
Season (climate)	Famine Early Warning Systems Network (FEWS NET): http://fews.net/sites/default/files/d ocuments/reports/South%20Suda n%20LHZ%20%20Report_Final.p df (August 2013)	For each livelihood zone (below), we classified each month as being mainly in the typical rainy or mainly in the typical dry seasons.
Incidence of armed conflict events	Armed Conflict Location & Event Data Project (ACLED): https://www.acleddata.com/	The ACLED project collects information on armed conflict events based on extensive review of multi-language media sources and other public information. Each row on the ACLED dataset is an individual event. We tallied all events irrespective of ACLED-defined typology, as we did not want to make assumptions about which events would be more or less associated with mortality. Each event row also contains a reported number of fatalities due to the event.
Incidence of attacks against aid workers	Aid Worker Security Database (AWSD): https://aidworkersecurity.org/incid ents	The Aid Worker Security Database project collects data on various types of attacks to aid workers, capturing information from media sources and receiving direct reports from aid organisations and operational security entities. We tallied all attacks irrespective of typology.
Region	n/a (constructed variable)	While not relevant administratively, we hypothesised that our regional groupings (northwest: Lakes, Northern Bahr el Ghazal, Warrap, Western Bahr el Ghazal; northeast: Jonglei, Unity, Upper Nile; southern: Central Equatoria, Eastern Equatoria, Western Equatoria) would capture broad differences in exposure to armed conflict and disease burden. We included Abyei Special Administrative Area within the northeast region due to proximity.
Main local livelihood type	Famine Early Warning Systems Network (FEWS NET): <u>http://fews.net/sites/default/files/d</u> <u>ocuments/reports/South%20Suda</u> <u>n%20LHZ%20%20Report_Final.p</u> <u>df</u> (August 2013)	While the source distinguishes 11 livelihood zones within South Sudan, we grouped these into agriculturalist, agropastoral and pastoralist. Most livelihood zones overlap with county borders; we defined the main livelihood type for each county as that which applied to the majority of the county's population, as reported by the source. If a given survey's sampling universe was a PoC camp, we defined the livelihood as displaced.
Proportion of the population that is internally displaced	n/a (constructed variable)	Both numerator and denominator were taken from our estimated population dataset. If a survey's sampling universe was a PoC camp, we set this variable to 1 (100%).
Season (food)	Famine Early Warning Systems Network (FEWS NET): http://fews.net/sites/default/files/d ocuments/reports/South%20Suda n%20LHZ%20%20Report_Final.p df (August 2013)	For each livelihood zone (above), the source provides typical expected seasonal food security calendars. We classified each month as being mainly in the typical lean season, or not.
Cereal harvest	CLiMIS portal developed by the South Sudan National Bureau of Statistics, and Ministry of Agriculture and Food Security in partnership with the United Nations Food and Agriculture Organisation, the United Nations World Food Programme, FEWS	As cereal harvests were only reported on an annual basis, we assumed an equal monthly amount per capita over any given year. For Abyei in 2011, as data were missing we arbitrarily assumed an annual harvest of 500 mt, assuming it would have been much lower than 2012 due to more intense conflict and displacement during 2011.

Table 12. Details on sources and management of candidate mortality predictor data.

Variable	Source	Source and data management notes
	NET, Concern Worldwide and	
	ACTED:	
Tormo of trado	http://climis-southsudan.org/	Abusi data ware missing and ware imputed by accuming they
nurchasing nower	bttp://climis-southsudan.org/	Abyel data were missing and were imputed by assuming they were the same as for Warrap state, which it horders
index	http://ciimis-southsudan.org/	Upper Nile data were missing for 6mo of 2016, 11mo of 2017
in a ox		and 2mo of 2018: we imputed the Upper Nile series for 2016-
		2018 by averaging any non-missing values from Upper Nile with
		weight = 5, values from neighbouring states (Unity, Jonglei)
		with weight = 1, and values from other states with weight = 0.5 .
		consecutive duration: we linearly interpolated these based on
		values prior and following the missing months. After imputation
		of missing values, we smoothed each state series using a spline
		function (R package spline with spar parameter= 0.5). The
		original and smoothed time series are shown in Figure 18. Lastly,
		We computed 3mo rolling averages using the smoothed values.
		within the state
Food distributions	United Nations World Food	We did not have complete data on the type of food distribution
	Programme	(e.g. general food distribution; blanket distribution; food for
		schools; etc.), so we merely considered the total mt of food
		distributed during any county-month. We assumed that absence
		been distributed
Uptake of measles	World Health Organization	We decided not to calculate a standard indicator of
routine vaccination	5	administrative vaccination coverage, as this would have implied
		further assumptions (e.g. proportion of the population under 1y
		old). The simple uptake indicator of doses administered per
		represents adequately relative differences in the functionality
		(and/or accessibility) of health services.
		Some counties did not transmit a vaccination report during
		certain months: we assumed that this meant no routine
		vaccination had been ongoing, i.e. U doses delivered. This
		believe that counties from which no report was received would
		have at least had relatively low coverage.
Acute flaccid	World Health Organization	We tallied all reported acute flaccid paralysis cases (note were
paralysis incidence		confirmed as polio infections), as this variable was intended to
		be a proxy for health service functionality (in a county with
		that AFP surveillance would have detected relatively more
		cases).
Humanitarian actor	United Nations Office for	We did not have consistently available information on the
presence (all sectors;	Coordination of Humanitarian	activities (e.g. sub-sector of health), target population,
health, nutrition and	Affairs	programming size, budget or output of humanitarian actors, and
WASH, nealth only)		as such opted for the number of agencies per capita as a rough
Cholera incidence	World Health Organization	We assumed all cases reported on the database were true
	5	cholera cases (in reality, these include both suspect and
		confirmed cases).
Measles incidence	World Health Organization	We included all cases in the county-month aggregate totals,
		except those marked as discarded, which we took to indicate
		for measles.



Figure 18. Terms of trade (Kg of white flour that can be purchased by a medium-sized goat), by state and month. The red line shows raw data. The blue line shows smoothed values.

Predictive models

For all models, we attributed to each survey-month (s, t) observation a composite weight equal to $W_{s,t} = W_{Q,s}W_{B,s}W_{A,s,t}$, where

- $W_{O,s}$, a quality weight, is the survey's quality score (range [0,1]);
- $W_{B,s}$, a representativeness bias weight (range [0,1]), is the approximate fraction of the sampling universe that was actually included in the sample, as per the survey's report (for example, if a report stated that the sampling frame excluded 3 out of 6 payams, we took this fraction as 0.5); this weight penalises surveys that did not sample some of the county due to insecurity or inaccessibility, so as to account for possible selection bias (accessible populations are likely to experience lower mortality); in five cases where an unspecified number of villages were reported as left out of the sampling frame, we assumed that $W_{B,s} = 0.5$;
- $W_{A,s,t}$, an analytic weight inversely proportional to the survey-month's contribution to the total recall period R, equal to $\frac{r_{s,t}}{R_s}$, i.e. the fraction of the survey's total recall period R_s that takes place in the survey-month, related to the SE as follows:

•
$$SE(\ln \hat{y})_{s,t} = SE(\ln \hat{y})_s \frac{\sqrt{\hat{y}NR}}{\sqrt{\hat{y}Nr_t}} = SE(\ln \hat{y})_s \frac{1}{\sqrt{\frac{r_t}{R}}}$$
, where N is the total number of people

sampled.

We included this composite weight in all model fitting. We also tried an alternative analytic weight that, in addition to the above, penalised surveys according to their relative level of estimate imprecision, but this yielded worse fits.

Diagnostic plots for the OLS model to predict CDR are shown in Figure 19. Figure 20 shows a plot of predictions versus data for the quantile-quantile regression model of CDR, while Figure 21 shows the equivalent plot for the models of injury-specific and violence-specific death rates.



Figure 19. Diagnostic plots for ordinary least-squares model to predict crude death rate.



Figure 20. Predictions versus data for quantile-quantile regression model of crude death rate.



Figure 21. Predictions versus data for quantile-quantile regression models of injury-specific (left) and violence-specific (right) death rate.

Additional tables and figures

Table 13. Estimated excess death toll, by county.

County	Total deaths (95%CI)	Baseline deaths (95%CI)	Excess deaths (95%CI)
Abiemnhom	2,400 (2,400 to 2,500)	2,000 (1,900 to 2,000)	500 (400 to 600)
Abyei	6,900 (6,700 to 7,200)	12,800 (12,400 to 13,300)	-5,900 (-6,500 to -5,400)
Akobo	19,700 (19,000 to 20,400)	4,900 (4,800 to 5,000)	14,800 (14,100 to 15,500)
Aweil Centre	4,800 (4,600 to 5,000)	7,700 (7,500 to 8,000)	-2,900 (-3,200 to -2,600)
Aweil East	32,600 (31,600 to 33,500)	3,600 (3,500 to 3,700)	28,900 (28,000 to 29,900)
Aweil North	11,600 (11,300 to 11,900)	25,900 (25,200 to 26,500)	-14,300 (-15,000 to -13,600)
Aweil South	7,000 (6,800 to 7,200)	9,600 (9,300 to 9,800)	-2,600 (-2,900 to -2,300)
Aweil West	16,800 (16,200 to 17,300)	6,500 (6,300 to 6,700)	10,300 (9,700 to 10,800)
Awerial	17,200 (16,600 to 17,900)	12,500 (12,200 to 12,800)	4,700 (4,000 to 5,400)
Ayod	21,700 (20,900 to 22,400)	6,100 (5,900 to 6,300)	15,600 (14,800 to 16,300)
Baliet	7,400 (7,200 to 7,600)	12,700 (12,300 to 13,000)	-5,300 (-5,700 to -4,800)
Bor South	22,900 (22,100 to 23,700)	6,400 (6,100 to 6,600)	16,500 (15,700 to 17,300)
Budi	17,700 (17,000 to 18,300)	19,900 (19,200 to 20,600)	-2,300 (-3,200 to -1,300)
Canal/Pigi	16,500 (16,000 to 17,100)	12,000 (11,600 to 12,400)	4,600 (3,900 to 5,200)
Cueibet	13,000 (12,600 to 13,400)	8,800 (8,600 to 9,100)	4,100 (3,700 to 4,600)
Duk	10,000 (9,700 to 10,400)	14,800 (14,400 to 15,300)	-4,800 (-5,300 to -4,200)
Ezo	10,400 (10,000 to 10,700)	6,800 (6,500 to 7,000)	3,600 (3,200 to 4,000)
Fangak	15,500 (15,000 to 16,100)	8,400 (8,100 to 8,700)	7,100 (6,500 to 7,800)
Fashoda	8,600 (8,300 to 8,900)	8,700 (8,500 to 9,000)	-200 (-500 to 300)
Gogrial East	8,900 (8,600 to 9,100)	2,600 (2,500 to 2,600)	6,300 (6,100 to 6,600)
Gogrial West	23,000 (22,300 to 23,700)	9,000 (8,700 to 9,300)	14,000 (13,300 to 14,700)
Guit	5,400 (5,300 to 5,600)	26,700 (25,900 to 27,500)	-21,300 (-22,000 to -20,500)
Ibba	5,000 (4,800 to 5,100)	3,700 (3,500 to 3,800)	1,300 (1,100 to 1,500)
Ikotos	15,200 (14,700 to 15,700)	3,700 (3,600 to 3,900)	11,400 (10,900 to 12,000)
Juba	66,700 (63,800 to 69,600)	13,900 (13,300 to 14,600)	52,800 (49,600 to 55,800)
Jur River	12,700 (12,400 to 13,100)	24,600 (24,000 to 25,100)	-11,800 (-12,500 to -11,100)
Kajo-Keji	18,000 (17,300 to 18,700)	11,900 (11,500 to 12,400)	6,100 (5,400 to 7,000)
Kapoeta East	27,800 (26,900 to 28,800)	13,800 (13,200 to 14,400)	14,000 (12,900 to 15,100)
Kapoeta North	19,100 (18,400 to 19,700)	23,100 (22,300 to 24,000)	-4,000 (-5,200 to -3,100)
Kapoeta South	14,400 (13,900 to 14,900)	13,900 (13,400 to 14,500)	400 (-300 to 1,200)
Koch	13,800 (13,400 to 14,200)	10,500 (10,200 to 10,800)	3,300 (2,900 to 3,800)
Lafon	19,000 (18,400 to 19,600)	9,300 (9,000 to 9,600)	9,700 (9,000 to 10,500)
Lainya	8,000 (7,700 to 8,300)	9,800 (9,500 to 10,100)	-1,800 (-2,300 to -1,400)
Leer	21,600 (20,900 to 22,200)	7,100 (6,900 to 7,300)	14,500 (13,800 to 15,100)
Longochuk	6,300 (6,200 to 6,500)	6,600 (6,400 to 6,800)	-200 (-500 to 0)
Luakpiny/Nasir	31,300 (30,400 to 32,300)	4,600 (4,500 to 4,800)	26,700 (25,700 to 27,700)
Maban	8,400 (8,100 to 8,700)	22,500 (21,800 to 23,200)	-14,100 (-14,900 to -13,300)
Magwi	21,700 (20,900 to 22,600)	4,800 (4,600 to 5,000)	17,000 (16,100 to 17,900)
Maiwut	9,700 (9,400 to 10,000)	13,500 (13,100 to 13,900)	-3,700 (-4,300 to -3,200)
Malakal	17,600 (16,900 to 18,200)	6,400 (6,200 to 6,600)	11,200 (10,500 to 11,900)
Manyo	4,400 (4,300 to 4,600)	8,800 (8,500 to 9,100)	-4,300 (-4,700 to -4,000)

County	Total deaths (95%CI)	Baseline deaths (95%CI)	Excess deaths (95%CI)
Maridi	11,500 (11,100 to 11,900)	2,700 (2,600 to 2,800)	8,800 (8,400 to 9,200)
Mayendit	14,200 (13,800 to 14,700)	9,600 (9,300 to 9,900)	4,600 (4,100 to 5,200)
Mayom	28,100 (27,300 to 29,000)	7,500 (7,200 to 7,700)	20,700 (19,800 to 21,600)
Melut	10,100 (9,800 to 10,500)	15,000 (14,600 to 15,500)	-4,900 (-5,500 to -4,400)
Morobo	10,100 (9,800 to 10,400)	4,800 (4,700 to 5,000)	5,300 (5,000 to 5,600)
Mundri East	8,200 (7,800 to 8,600)	5,500 (5,200 to 5,800)	2,700 (2,200 to 3,200)
Mundri West	7,000 (6,700 to 7,200)	3,700 (3,600 to 3,900)	3,300 (3,000 to 3,600)
Mvolo	6,100 (5,900 to 6,400)	2,800 (2,700 to 3,000)	3,300 (3,000 to 3,600)
Nagero	1,300 (1,300 to 1,400)	3,500 (3,300 to 3,600)	-2,100 (-2,300 to -2,000)
Nyirol	21,400 (20,800 to 22,200)	1,000 (1,000 to 1,100)	20,400 (19,700 to 21,200)
Nzara	8,300 (8,100 to 8,600)	10,200 (9,800 to 10,600)	-1,800 (-2,300 to -1,400)
Panyijiar	19,300 (18,700 to 19,800)	7,600 (7,400 to 7,800)	11,600 (11,100 to 12,300)
Panyikang	5,100 (5,000 to 5,300)	6,700 (6,500 to 6,900)	-1,600 (-1,800 to -1,300)
Pariang	13,600 (13,200 to 14,000)	4,800 (4,700 to 4,900)	8,800 (8,400 to 9,300)
Pibor	16,500 (15,700 to 17,200)	13,300 (12,600 to 13,900)	3,200 (2,200 to 4,200)
Pochalla	8,100 (7,800 to 8,400)	18,000 (17,300 to 18,700)	-9,900 (-10,600 to -9,200)
Raga	8,400 (8,100 to 8,800)	4,400 (4,300 to 4,600)	4,000 (3,700 to 4,400)
Renk	21,600 (20,900 to 22,300)	5,800 (5,600 to 6,000)	15,700 (15,100 to 16,500)
Rubkona	45,200 (43,700 to 46,800)	17,700 (17,000 to 18,300)	27,500 (26,000 to 29,200)
Rumbek Centre	19,800 (19,200 to 20,500)	8,300 (8,000 to 8,600)	11,500 (10,800 to 12,300)
Rumbek East	13,300 (12,900 to 13,700)	14,400 (14,000 to 14,900)	-1,100 (-1,700 to -500)
Rumbek North	5,200 (5,000 to 5,400)	10,600 (10,400 to 10,900)	-5,400 (-5,700 to -5,100)
Tambura	7,000 (6,800 to 7,300)	4,500 (4,300 to 4,600)	2,600 (2,300 to 2,900)
Terekeka	13,900 (13,400 to 14,500)	3,500 (3,400 to 3,700)	10,400 (9,900 to 11,000)
Tonj East	10,700 (10,300 to 11,000)	10,400 (10,100 to 10,700)	300 (-200 to 700)
Tonj North	14,500 (14,000 to 14,900)	9,700 (9,400 to 10,000)	4,700 (4,200 to 5,300)
Tonj South	9,500 (9,100 to 9,800)	16,600 (16,100 to 17,200)	-7,100 (-7,800 to -6,500)
Torit	16,700 (16,100 to 17,400)	10,200 (9,900 to 10,700)	6,500 (5,700 to 7,300)
Twic	20,200 (19,600 to 20,800)	6,600 (6,400 to 6,800)	13,600 (13,000 to 14,300)
Twic East	12,100 (11,800 to 12,500)	28,000 (27,200 to 28,700)	-15,900 (-16,700 to -15,000)
Ulang	11,600 (11,300 to 12,000)	11,100 (10,800 to 11,400)	500 (100 to 1,000)
Uror	22,700 (22,000 to 23,300)	8,600 (8,400 to 8,900)	14,100 (13,400 to 14,800)
Wau	23,700 (22,700 to 24,600)	15,600 (15,000 to 16,200)	8,100 (6,900 to 9,300)
Wulu	4,000 (3,900 to 4,200)	12,400 (12,100 to 12,700)	-8,400 (-8,700 to -8,000)
Yambio	21,300 (20,500 to 22,100)	4,100 (3,900 to 4,200)	17,200 (16,400 to 18,100)
Yei	26,100 (25,200 to 27,000)	14,200 (13,800 to 14,800)	11,900 (10,800 to 12,900)
Yirol East	7,500 (7,300 to 7,700)	13,600 (13,300 to 13,900)	-6,100 (-6,500 to -5,700)
Yirol West	12,600 (12,200 to 13,000)	6,700 (6,600 to 7,000)	5,800 (5,400 to 6,300)
Total	1,177,600 (1,171,800 to 1,183,300)	794,600 (791,300 to 798,000)	382,900 (376,000 to 389,800)

State hub	Total deaths (95%CI)	Baseline deaths (95%CI)	Excess deaths (95%CI)
Central Equatoria	161,800 (151 500 to 172 700)	73,800	87,900 (76 200 to 00 000)
Eastern Equatoria	(131,300 to 172,700) 181,200 (170,900 to 191,300)	(113 200 to 127 400)	(18,300 to 39,900) 60,800 (48,400 to 73,600)
Jonglei	220,700	(1137,200 to 154,200)	74,900
	(209,600 to 232,100)	(137,200 to 154,200)	(60,500 to 88,900)
Lakes	105,000	99,000	6,000
	(98,600 to 111,700)	(93,700 to 105,400)	(-3,300 to 14,700)
Northern Bahr el Ghazal	84,400	62,200	22,200
	(77,400 to 92,800)	(56,000 to 69,200)	(12,500 to 31,600)
Abyei Special Admin. Area	7,400	13,900	-6,500
	(6,400 to 8,500)	(11,900 to 16,100)	(-8,800 to -4,300)
Unity	181,700	109,000	72,700
	(171,300 to 192,300)	(101,400 to 116,200)	(60,000 to 85,700)
Upper Nile	169,900	144,300	25,900
	(159,300 to 181,300)	(137,200 to 151,800)	(11,700 to 38,700)
Warrap	101,800	67,100	34,500
	(93,900 to 110,400)	(60,400 to 74,500)	(23,000 to 46,300)
Western Bahr el Ghazal	51,500	55,500	-4,000
	(46,400 to 57,300)	(48,500 to 62,400)	(-12,400 to 4,600)
Western Equatoria	100,200	57,200	42,900
	(94,700 to 106,000)	(54,300 to 60,100)	(36,700 to 49,800)
Total	1,365,600	948,500	417,400
	(1,337,700 to 1,395,600)	(926,700 to 969,400)	(381,300 to 455,800)

Table 14. Estimated excess death toll (all ages, all causes), by state hub and overall, using predictions from quantile-quantile regression.

Table 15. Estimated excess death toll (all ages, all causes), by state hub and overall, using the 2008 census crude death rate as baseline.

State hub	Total deaths (95%CI)	Baseline deaths (95%CI)	Excess deaths (95%CI)
Central Equatoria	142,800 (139,700 to 146,300)	66,600	76,300 (73,100 to 79,700)
Eastern Equatoria	151,500 (149,600 to 153,300)	102,700	48,700 (46,800 to 50,600)
Jonglei	187,000 (184,800 to 189,000)	107,200	79,800 (77,600 to 81,900)
Lakes	92,700 (91,400 to 93,900)	120,700	-28,000 (-29,300 to -26,800)
Northern Bahr el Ghazal	72,700 (71,500 to 73,900)	75,400	-2,600 (-3,900 to -1,500)
Abyei Special Admin. Area	6,900 (6,700 to 7,100)	13,000	-6,100 (-6,400 to -5,900)
Unity	163,800 (161,700 to 165,800)	90,900	72,900 (70,800 to 74,900)
Upper Nile	142,300 (140,700 to 143,700)	126,700	15,600 (14,000 to 17,100)
Warrap	86,700 (85,600 to 87,800)	75,100	11,700 (10,600 to 12,700)
Western Bahr el Ghazal	44,900 (43,800 to 45,900)	59,900	-15,000 (-16,100 to -13,900)
Western Equatoria	86,100 (85,000 to 87,200)	60,000	26,100 (25,000 to 27,300)
Total	1,177,400 (1,171,700 to 1,183,300)	898,100	279,300 (273,700 to 285,200)



Figure 22. Map of South Sudan counties, showing the average estimated excess death rate over the period of analysis.